

JC07 Rec'd PCT/PTO 02 MAY 2005  
MAIL STOP PCT

Docket No. 264681US0X PCT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PCT

IN RE APPLICATION OF: Kai SCHUMACHER, et al

SERIAL NO: 10/524,037 ✓

FILED: February 9, 2005

FOR: SILICON DIOXIDE DISPERSION

GAU:

EXAMINER:



## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

## REFERENCES

The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## RELATED CASES

Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## CERTIFICATION

Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Norman F. Oblon  
Registration No. 24,618

Customer Number

22850

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)Surinder Sachar  
Registration No. 34,423

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 264681US0X PCT		SERIAL NO. 10/524,037	
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT		Kai SCHUMACHER, et al.			
		FILING DATE		GROUP			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
/D.L./	AA	2004/0253164	12/16/04	MANGOLD et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
/D.L./	AO	2 152 903	07/20/00	RU (English abstract only)	YES	NO	
/D.L./	AP	0 850 876	07/01/98	EP (with English abstract & equivalent of US 6328944)	YES	NO	
/D.L./	AQ	0 272 904	06/29/88	EP	YES	NO	
	AR				YES	NO	
	AS				YES	NO	
	AT				YES	NO	
	AU				YES	NO	
	AV				YES	NO	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
/D.L./	AW	HUMBERT, B. "Estimation of hydroxyl density at the surface of pyrogenic silicas by complementary NMR and Raman experiments", Journal of Non-Crystalline Solids, vol. 191, pages 29-37, XP004076467 1995					
/D.L./	AX	CLARK-MONKS, Colin et al. "The Characterization of Anomalous Adsorption Sites on Silica Surfaces", Journal of Colloid and Interface Science, vol. 44, no. 1, pages 37-49, XP008024718 1973					
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner	/Diana Liao/				Date Considered 09/29/2008		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent Appl. Publication No.</u>	<u>Inventor/Applicant</u>
265981US0X	11/055,605	02/11/05		SCHUMACHER, et al.
264681US0XPCT*	10/524,037	02/09/05		SCHUMACHER, et al.
268418US0XPCT	10/530,284	04/05/05		MOERTERS, et al.
268419US0XPCT	10/530,491	04/06/05		MOERTERS, et al.
252535US0X	10/863,348	06/09/04	US2004/0253164A1	MANGOLD, et al.
268402US0X	11/084,022	03/21/05		SCHUMACHER, et al.
268403US0X	11/084,170	03/21/05		SCHUMACHER, et al.
268546US0X	11/085,151	03/22/05		SCHUMACHER, et al.

\*Present Application; listed for information  
NFO/kch/hah